

OIPF  
SEP 25 2002  
PATENT & TRADEMARK OFFICE

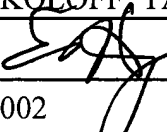
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No.	09/890,867
		Filing Date	August 1, 2001
		First Named Inventor	Peng Loh
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	7	Attorney Docket Number	4890P001


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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Response to communication and Notification of Abandonment t/w pgs 1 &amp; 13 of docket; postcard receipts</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	September 19, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: September 19, 2002			
Typed or printed name	L. Shapiro		
Signature		Date	September 19, 2002



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application Number	09/890,867
Filing Date	August 1, 2001
First Named Inventor	Peng Loh
Examiner Name	
Group/Art Unit	
Attorney Docket No.	4890P001

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## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$ )

### 2. EXTRA CLAIM FEES

Total Claims  - 20\* =  0 X  18.00 =  \$0.00  
Independent Claims  - 3\* =  0 X  84.00 =  \$0.00  
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ ) 0.00

\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920 *	112	920 *	Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ )

## SUBMITTED BY

Name (Print/Type) Eric S. Hyman

Registration No.  
(Attorney/Agent)

30,139

Telephone

(714) 557-3800

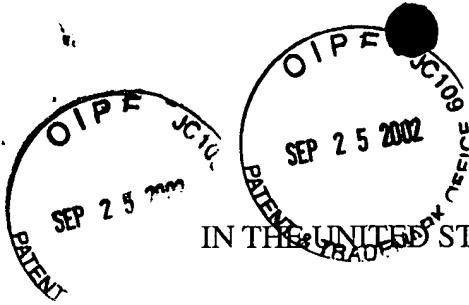
Signature

Date

09/19/02

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Our Ref. No.: 004890.P001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Peng Loh

Serial No. 09/890,867

Filed: 8/1/2001

For: METAL CASTING

Examiner:

Art Group:

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**RESPONSE TO COMMUNICATION AND NOTIFICATION OF ABANDONMENT**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

This is in response to a Communication and Notification of Abandonment mailed August 12, 2002.

The Communication indicates that the present application is abandoned for failure to complete the requirements of 35 USC 371(c) for entry into the National Stage in the United States as indicated in a Notification of Missing Requirements allegedly mailed on October 1, 2001.

In response, and as noted in the Requests for Status mentioned in the Communication filed February 1, 2002 (mailed January 8, 2002 as evidenced by certificate of mailing) and filed April 11, 2002 (mailed April 1, 2002 as evidenced by certificate of mailing), Applicant had not received any communication from the United States Patent and Trademark Office other than a postcard receipt indicating receipt in the receiving office on August 1, 2001 (incorrectly specified in the Requests for Status due to typographical error as August 10, 2001). Applicant notes that in the Communication it is alleged that the Notification of Missing Requirements was mailed on October 1, 2001.

Assuming this to be true, had such notification been mailed and received the due date to reply to the

Notification would have been docketed by the attorneys of record, two months after the alleged mailing date, i.e., December 1, 2001. In this connection, submitted herewith is a copy of a portion of a docket report showing the due date December 1, 2001 including pages 1 and 13 of a 32 page report. Page 1 is provided to show the report is for the due date of December 1, 2001. Page 13 is provided because the report is sorted by client and matter and, for this filing, for client matter number 004890.P001, the docket entry would have appeared on page 13 where indicated had the notification been received. Serial numbers have been blocked out due to confidentiality.

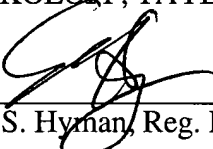
Accordingly, this is to request that the Notification of Missing Requirements under 35 USC 371 be mailed to the attorneys of record and assigned a new mailing date and mailed so as to provide Applicant with a two month period of time from such mailing date within which to reply.

Applicant notes that it is not in a position to file a petition to revive since Applicant cannot provide the information requested in the Notification of Missing Requirements which, as noted above, has not been received.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 9/19 2002

  
Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025-1026  
(310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

149-49  
Lynda Shapiro

2002  
9/19/02

Date



# Docket

prepared 9/18/2002

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Atty	Client.Matter	Client Name	Country	Pat/Ser/Reg	Description
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Saturday, December 1, 2001

SWS LNB	01090 . 951	Mr. Lou Adler		1,180,298	US TM renewal deadline
SKD EHT LNB	01340 . T012	Voyan Technology		<del>REDACTED</del>	Watch for first office action
TMC	02031 . P001	Superior Radiant Insulation, Inc.		5799461	First maintenance fee due 3/1/2002; follow up as necessary
9/13/2001 - Sent notification letter to client regarding deadline - TMC/smo Received letter from client instructing BSTZ to pay maintenance fee - TMC/smo 09/21/01 - Grace confirmed that case was added to the CPI B1 auto-pay acct - TMC/smo					
RWB	02106 . p014x	Inovate Manufacturing LLC		<del>REDACTED</del>	Response due to file corrected application papers.
11/8/01 - Please add JAH initials (jc)					
GWH	02150 . P003xd	Sam Ahn		5,843,170	First maintenance fee due 6/1/2002; notification letter to client
JHS	02193 . P001	Luminex Software, Inc.		5802327	First maintenance fee due 3/1/2002; follow up as necessary
Added to auto-pay account 10/1/01. (10/1/01 cmw)					
MES	02240 . P097	Trimble Navigation Ltd.		<del>REDACTED</del>	Two months since final oa was mailed
MES DEO	02240 . P106	Trimble Navigation Ltd.		<del>REDACTED</del>	Reminder: Issue fee due/Formal drawings due by 2/1/02
LNB DFA	02252 . G041	Sage Publications, Inc. CTM			Response due associate, arbitrary date

Date passed. lh 12/3/01



# Docket

prepared 9/18/2002

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Atty	Client.Matter	Client Name	Country	Pat/Ser/Reg	Description
MAB SKW	04887 . P609PCT	Applied Materials, Inc.	PCT	US01/ <del>2183</del>	Watch for Search Report; Amended claims to be in Geneva two months from receipt of Search Report
4062 ETCH/DICP/JB					
skw	04887 . P624PCT	Applied Materials, Inc.	PCT	US01/ <del>2183</del>	Check PCT date docketing - PCT dates docketed on 10/18/2001
5181/cpes/core/d please clear skw 8/5/02					
MAB SKW	04887 . P624PCT	Applied Materials, Inc.	PCT	US01/ <del>2183</del>	Watch for Invitation
5181/cpes/core/d please clear skw 8/5/02					
MWH	04896 . T010	California Early Literacy Learning		173,681	Watch for Certificate of Registration
MWH	04896 . T017	California Early Literacy Learning		189,177	Watch for Certificate of Registration
DMD Inb	04906 . T007MY	Redback Networks Inc.	Malaysia	<del>173,681</del>	Watch for first office action
TVN RST	04926 . P001	Global Board of Trade		<del>173,681</del>	Watch for first office action
EHT	05004 . P001EP	Grischa Corporation	EPO	<del>173,681</del>	Reminder:Deadline to file response in EPO is 1/1(hard deadline 2/8)
EHT	05004 . P022	Grischa Corporation		<del>173,681</del>	Reminder:Deadline to file utility application based on provisional/Foreign filing deadline is 6/1/2002
JHS	05147 . P001	Klaus Bibl		<del>173,681</del>	F/F priority deadline

F/F letter to client 6/8/01. (6/8/01 cmw) Application has been PCT filed. (12/03/01 cmw)



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STATUS DB-LA

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

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Serial/Patent No. 09890867  
Client: Overseas Patent Agency  
Title: \_\_\_\_\_  
Filing/Issue Date: 8-1-01

BSTZ File No.: 04890 P001  
Date Mailed: 1-9-02  
Att'y/Secty Initials: ESH/mvs  
Docket Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No. _____	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input type="checkbox"/> Month(s) Extension of Time _____	<input type="checkbox"/> Amt. _____
<input type="checkbox"/> Application Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)	<input type="checkbox"/> Check No. _____
<input type="checkbox"/> Application Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal _____	<input type="checkbox"/> Amt. _____
<input type="checkbox"/> Application Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal _____	
<input type="checkbox"/> Application Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time _____	
<input type="checkbox"/> Application Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard _____	
<input type="checkbox"/> Application PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Response to Notice of Missing Parts _____	
<input type="checkbox"/> Declaration & POA (____ pgs.)	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Qtr & Copy of Invention Signed Letter (____ pgs.)	<input checked="" type="checkbox"/> Transmittal Letter, in duplicate	
<input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures	<input checked="" type="checkbox"/> Fee Transmittal, in duplicate	

☒ Other: Request For Status

OIPE JC109  
FEB 01 2002  
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APR 22 2002

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LOS ANGELES

JC05 Rec'd PCT/PTO 1.1 APR 2002

App. No. 09/890,867 Filing Date: \_\_\_\_\_ BSTZ Docket #: 4890P001 Atty/Sec: \_\_\_\_\_  
Date Mailed: 4-1-02 Docket Due Date: \_\_\_\_\_ Client: Overseas Patent Agency Inc.  
Title METAL CASTING - UTILITY

Inventor(s) \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |  |
|---|--|
| <input type="radio"/> Amendment/Response (____ pgs)             | <input checked="" type="radio"/> Fee Transmittal (original & copy)           |
| <input type="radio"/> Amendment/Response After Final (____ pgs) | <input type="radio"/> Form PTO-1533  |
| <input type="radio"/> Appeal Brief & two copies (____ pgs each) | <input type="radio"/> Information Disclosure Statement & PTO-1449 (____ pgs) |
| <input type="radio"/> Application - Rule 1.53(b)                | <input type="radio"/> Issue Fee Transmittal                                  |
| <input type="radio"/> Application - Rule 1.53(b)(2)             | <input type="radio"/> Notice of Appeal                                       |
| <input type="radio"/> Application - Rule 1.53(c)                | <input type="radio"/> Petition for Extension of Time: _____ (____ pgs)       |
| <input type="radio"/> Application - Rule 1.53(d)                | <input type="radio"/> Petition _____ (____ pgs)                              |
| <input type="radio"/> Application _____ (____ pgs)              | <input type="radio"/> Postcard   |
| <input type="radio"/> Assignment & Cov. (____ pgs)              | <input type="radio"/> Power of Attorney (____ pgs)                           |
| <input checked="" type="radio"/> Certificate of Mailing         | <input type="radio"/> Preliminary Amendment (____ pgs)                       |
| <input type="radio"/> Certificate of Facsimile & Mailing        | <input type="radio"/> Reply Brief (____ pgs)                                 |
| <input type="radio"/> Express Mail No. _____                    | <input type="radio"/> Response to Notice of Missing Parts (____ pgs)         |
| <input type="radio"/> Declaration & POA (____ pgs)              | <input type="radio"/> Small Entity Declaration for Indep. Inven/Sm. Business |
| <input type="radio"/> Disclosure Docs & Inv's Signed Ltr        | <input checked="" type="radio"/> Transmittal Form                            |
| <input type="radio"/> Drawings: _____ sheets, _____ figures     | <input type="radio"/> Check No. _____ Amount _____                           |
| <input checked="" type="radio"/> Other Request for Status       | <input type="radio"/> Check No. _____ Amount _____                           |
|   | <input type="radio"/> Check No. _____ Amount _____                           |

4/14  
Returned call  
to Shaqueal @ PTO  
w/ message  
K.